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(FILE 'HOME' ENTERED AT 17:58:34 ON 22 JUL 2003)

FILE 'CAPLUS' ENTERED AT 17:59:26 ON 22 JUL 2003

FILE 'USPATFULL' ENTERED AT 18:30:56 ON 22 JUL 2003

L1 238 S ((GRANULE OR MICROGRANULE OR MICROSPHERES OR NANOSPHERES) AND

L2 58 S L1 AND (OIL-IN-WATER)

L3 12 S L2 AND POLYLACTIDE?

=> d bib, kwic 1-3, 5, 8-12

L3 ANSWER 1 OF 12 USPATFULL on STN

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Full Liting
Text References
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AN 2003:136818 USPATFULL

TI Methods of spray drying pharmaceutical compositions

IN Tarara, Thomas E., San Diego, CA, United States Weers, Jeffry G., San Diego, CA, United States Kabalnov, Alexey, Corvallis, OR, United States Schutt, Ernest G., San Diego, CA, United States Dellamary, Luis A., San Marcos, CA, United States

PA Inhale Therapeutic Systems, Inc., San Carlos, CA, United States (U.S.

corporation)

<u>PI</u> <u>US 6565885</u> B1 20030520

AI US 1998-219736

19981222 (9)

RLI Continuation of Ser. No. WO 1998-US20602, filed on 29 Sep 1998
Continuation-in-part of Ser. No. US 1998-133848, filed on 14 Aug 1998,
now abandoned Continuation-in-part of Ser. No. US 1998-106932, filed on
29 Jun 1998, now abandoned

PRAI US 1997-60337P

19970929 (60)

DT Utility

FS GRANTED

EXNAM Primary Examiner: Dees, Jose' G.; Assistant Examiner: Haghighatian, M.

LREP Rafa, Michael J., Cagan, Felissa H.

CLMN Number of Claims: 104

ECL Exemplary Claim: 1

DRWN 19 Drawing Figure(s); 6 Drawing Page(s)

LN.CNT 3817

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

DETD . . . as discussed in more detail below, surfactants comprising the structural matrix may also be useful in the formation of precursor oil-in-water emulsions (i.e. spray drying feed stock) used during processing to form the perforated microstructures.

DETD . . . matrix defining the perforated microstructure optionally comprises synthetic or natural polymers or combinations thereof. In this respect useful polymers comprise polylactides, polylactideglycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams, polyvinyl pyrrolidones, polysaccharides (dextrans, starches, chitin, chitosan, etc.), hyaluronic acid, proteins, (albumin, . . .

DETD In selected embodiments an oil-in-water emulsion is then formed in a separate vessel. The oil employed is preferably a fluorocarbon (e.g., perfluoroctyl bromide, perfluorodecalin) which. . .

CLM What is claimed is:

- 11. The method of claim 1 wherein said collected perforated microstructures comprise hollow porous microspheres.
- 16. The method of claims 1 wherein said bioactive agent is selected from the group consisting of antiallergics, bronchodilators, pulmonary. . . or antagonists, antihistamines, antiinflammatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, DNA, viral vectors, antisense agents, proteins, peptides and combinations thereof.

- . of claim 1 wherein the feed stock further comprises a natural or synthetic polymer selected from the group consisting of polylactides, polylactide-glycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams, polyvinyl pyrrolidones, polysaccharides, hyaluronic acid, and proteins.
- 59. The method of claim 56 wherein said collected particulates comprise hollow porous microspheres.
- 64. The method of claim 38 wherein the feed stock further comprises a natural or synthetic polymer selected from the group consisting of polylactides, polylactide-glycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams, polyvinyl pyrrolidones, polysaccharides, hyaluronic acid, and proteins.
- . or antagonists, antihistamines, antiinflammatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, DNA, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 86. The method of claim 73 wherein said perforated microstructures comprise hollow porous microspheres.
- 90. The method of claim 72 wherein the feed stock further comprises a natural or synthetic polymer selected from the group consisting of polylactides, polylactide-glycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams, polyvinyl pyrrolidones, polysaccharides, hyaluronic acid, and proteins.
- . or antagonists, antihistamines, antiinflanmatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, DNA, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 98. The method of claim 97 wherein the perforated microstructures comprise hollow and porous microspheres.
- 101. The method of claim 100 wherein the perforated microstructures comprise hollow and porous  ${\bf microspheres}$ .
- $104\,.$  The method of claim 103 wherein the perforated microstructures comprise hollow and porous  ${\tt microspheres}\,.$

## L3 ANSWER 2 OF 12 USPATFULL on STN

## Full Citing Text References

- AN 2003:64338 USPATFULL
- TI Oral dosage form comprising a therapeutic agent and an adverse-effect agent
- IN Wright, Curtis, IV, Norwalk, CT, UNITED STATES
  - Carpanzano, Anthony E., Sherman, CT, UNITED STATES
- <u>PI US 2003044458</u> A1 20030306
- AI US 2002-208817 A1 20020801 (10)
- PRAI US 2001-309791P 20010806 (60)
- DT Utility
- FS APPLICATION
- LREP PENNIE & EDMONDS LLP, 1667 K STREET NW, SUITE 1000, WASHINGTON, DC,
- CLMN Number of Claims: 79
- ECL Exemplary Claim: 1
- DRWN 3 Drawing Page(s)
- LN.CNT 1562
- CAS INDEXING IS AVAILABLE FOR THIS PATENT.

- DETD . . . a coating that is substantially insoluble in the gastrointestinal tract also include, but not limited to, poly(lactic/glycolic acid) ("PLGA") copolymers, polylactides, polyglycolides, polyanhydrides, polyorthoesters, polycaprolactones, polyphosphazenes, polysaccharides, proteinaceous polymers, polyesters, polydioxanone, polygluconate, polylactic-acid polyethylene oxide copolymers, poly(hydroxybutyrate), polyphosphoesters, and mixtures thereof.
- DETD . . . sustained-release coating comprises a water-insoluble material, such as a wax or a wax-like substance, fatty alcohol, shellac, zein, hydrogenated vegetable oil, water insoluble cellulose, polymer of acrylic and/or methacrylic acid, or any other slowly digestible or dissolvable solid known in the art.. . .
- [0072] Other polymers suitable for use in the invention include, but are not limited to, hydroxyalkylcelluloses; poly(lactic/glycolic acid) ("PLGA"); polylactide; polyglycolide; polyanhydrides; polyorthoesters; polycaprolactone; polyphosphazenes; polysaccharides; proteinaceous polymers; polyesters; polydioxanone; polygluconate; polylactic-acid polyethylene oxide copolymers; poly(hydroxybutyrate) polyphosphoesters; or mixtures thereof.
- CLM What is claimed is:
  - . oral dosage form of claim 1, wherein the first composition and the second composition are in the form of powders, granules, or beads contained within a capsule.
  - . . The oral dosage form of claim 1, wherein the first composition and the second composition are in the form of granules or a powder dispersed in a pharmaceutically acceptable matrix.
  - . . 13, wherein the sustained-release coating is selected from the group consisting of a wax, fatty alcohol, shellac, zein, hydrogenated vegetable oil, water insoluble cellulose, polymers of acrylic acid, polymers of methacrylic acid, copolymers of acrylic acid and methacrylic acid, and mixtures thereof.
    - . colony stimulating factor, parathyroid hormone, luteinising hormone releasing hormone and analogues thereof, atrial natriuretic factor, vasopressin, desmopressin, calcitonin gene related peptide, and analgesics.
  - . . oral dosage form of claim 37, wherein the first composition and the second composition are in the form of powders, granules, or beads contained within a capsule.
  - . The oral dosage form of claim 37, wherein the first composition and the second composition are in the form of granules or a powder dispersed in a pharmaceutically acceptable matrix.
  - . . 51, wherein the sustained-release coating is selected from the group consisting of a wax, fatty alcohol, shellac, zein, hydrogenated vegetable oil, water insoluble cellulose, polymers of acrylic acid, polymers of methacrylic acid, copolymers of acrylic acid and methacrylic acid, and mixtures thereof.
  - . . colony stimulating factor, parathyroid hormone, luteinising hormone releasing hormone and analogues thereof, atrial natriuretic factor, vasopressin, desmopressin, calcitonin gene related peptide, and analgesics.

### L3 ANSWER 3 OF 12 USPATFULL on STN

Full Cland Text References

AN 2003:37200 USPATFULL

TI Injectable sustained release pharmaceutical composition and processes for preparing the same

```
Lee, Hee-Yong, Iksan-shi, KOREA, REPUBLIC OF
IN
      Lee, Hye-suk, Iksan-shi, KOREA, REPUBLIC OF
      Kim, Jung-Soo, Jeonju-shi, KOREA, REPUBLIC OF
      Kim, Sang-Beom, Kunsan-shi, KOREA, REPUBLIC OF
      Lee, Ji-Suk, Kunsan-shi, KOREA, REPUBLIC OF
      Choi, Ho-Il, Taejon, KOREA, REPUBLIC OF
      Chang, Seung-Gu, Tajeon, KOREA, REPUBLIC OF
      US 2003026844
                         A1
                              20030206
ΡI
ΑI
      US 2002-18870
                         A1
                              20020418 (10)
                              20010322
      WO 2001-KR462
                          20000418
      KR 2000-20484
PRAI
      KR 2000-49344
                          20000824
\mathbf{DT}
      Utility
      APPLICATION
FS
      Eric B Meyertons, Conley, Rose, & Tayon, P.C., P O Box 398, Austin, TX,
LREP
CLMN
      Number of Claims: 21
ECL
      Exemplary Claim: 1
DRWN
      9 Drawing Page(s)
LN.CNT 891
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       . . . microspheres in drug delivery, Crit. Rev. Ther. Drug Carrier
      Syst., 12, 1-99 (1995)]. Among the biodegradable polymers, aliphatic
      polyesters including polylactides, polyglycolides and their copolymers
      have been mostly investigated due to the great biocompatibility and
      variable time range of biodegradability dependent.
       . . Rel., 28, 25-42 (1997), U.S. Pat. Nos. 4,818,542, 5,942,253].
SUMM
      Due to the hydrophilic nature of most protein drugs, water in oil in
      water (w/o/w) double emulsion solvent evaporation technique is
      frequently used for encapsulating protein into a biodegradable polymeric
      matrix. In this process,. . .
      . . Another aspect of the present invention is to provide said
DETD
      processes, wherein said biodegradable polymer is one or more of
      polylactides, polyglycolides, poly(lactide-co-glycolide)s,
      polycaprolactone, polycarbonates, polyesteramides, polyanhydrides,
      poly(amino acids), polyorthoesters, polyacetyls, polycyanoacrylates,
      polyetheresters, poly(dioxanone)s, poly(alkylene alkylate)s, copolymers
      of polyethylene glycol and.
       . . Pat. Nos. 3,960,757, 4,818,542, 5,160,745, 5,830,493,
DETD
      5,916,597, 5,942,241. In particular, preferred polymers are
      biodegradable polymers including synthetic polymers such as
      polylactides, polyglycolides, poly(lactide-co-glycolide)s,
      polycaprolactone, polycarbonates, polyesteramides, polyanhydrides,
      poly(amino acids), polyorthoesters, polyacetyls, polycyanoacrylates,
      polyetheresters, poly(dioxanone)s, poly(alkylene alkylate)s, copolymers
      of polyethylene glycol and.
DETD
       . . of ionic groups into biodegradable polymers can be carried out
      by conventional chemical reactions. For example, aliphatic polyesters
      such as polylactides, polyglycolides, and poly(lactide-co-glycolide)s
      may have cationic functional groups by modification of hydroxyl or
      carboxyl groups therein into amino groups.
               aspects are well described in U.S. Pat. Nos. 3,523,906,
DETD
      4,652,441, 5,288,502, 4,606,940, 5,271,961, 5,518,709, 5,019,400, and
      5,043,280. Particularly, water in oil in water (w/o/w) double
      emulsion solvent extraction and evaporation method is preferred. In this
      method, fine water droplets in the primary emulsion.
CLM
      What is claimed is:
      1. A process to prepare an injectable sustained release pharmaceutical
      composition comprising a step to prepare biodegradable porous
      microspheres having accessible ionic functional groups, a step to
      incorporate a biopharmaceutical into the microspheres through ionic
      interaction by suspending or equilibrating the microspheres in a
      solution containing the biopharmaceutical and a step to recover and
      freeze-dry the biopharmaceutical-incorporated microspheres.
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. 2. The process of claim 1, wherein the composition is prepared by incorporation of a cationic biopharmaceutical into biodegradable porous

microspheres having anionic functional groups and wherein the pH of incorporation solution is lower than the pI of the biopharmaceutical.

- . 3. The process of claim 1, wherein the composition is prepared by incorporation of an anionic biopharmaceutical into biodegradable porous microspheres having cationic functional groups and wherein the pH of incorporation solution is higher than the pI of the biopharmaceutical.
- 5. The process of claim 1-3, wherein said biodegradable polymer is one or more of polylactides, polyglycolides, poly(lactide-co-glycolide)s, polycaprolactone, polycarbonates, polyesteramides, polyanhydrides, poly(amino acids), polyolthoesters, polyacetyls, polycyanoacrylates, polyetheresters, poly(dioxanone)s, poly(alkylene alkylate)s, copolymers of polyethylene glycol and. . .
- 7. The process according to any of the claims 2, 4, 5, wherein said biodegradable porous microspheres having anionic functional groups are prepared from the blends of anionic surfactant and/or biocompatible materials having anionic functional group with. . .
- 10. The process according to any of the claims 3, 4, 5, wherein said biodegradable porous microspheres having cationic functional groups are prepared from the blends of cationic surfactant or biocompatible materials having cationic functional group with. . .
- . said biopharmaceutical is selected from the group consisting of growth hormones, interferons, colony stimulating factors, interleukins, macrophage activating factors, macrophage peptides, B cell factors, T cell factors, protein A, suppressive factor of allergy, suppressor factors, cytotoxic glycoprotein, immunocytotoxic agents, immunotoxins, immunotherapeutic. . . platelet derived growth factor, osteogenic growth factors, atrial naturetic factor, auriculin, atriopeptin, bone morphogenetic protein, calcitonin, calcitonin precursor, calcitonin gene-related peptide, cartilage inducing factor, connective tissue activator protein, fertility hormones (follicle stimulating hormone, leutinizing hormone, human chorionic gonadotropin), growth hormone releasing. . . hormone, parathyroid hormone inhibitors, relaxin, secretin, somatomedin C, insulin-like growth factors, inhibin, adrenocorticotrophic hormone, glucagons, vasoactive intestinal polypeptide, gastric inhibitory peptide, motilin, cholecystolinin, pancreatic polypeptide, gastrin releasing peptide, corticotropin releasing factor, thyroid stimulating hormone, vaccine antigens of, and anti-infective antibodies to, bacterial or viral or other infectious organisms. . .
- 13. The process according to any of the claims 1-3, wherein said biodegradable porous microspheres having ionic functional groups are prepared by a method selected from solvent extraction or evaporation in aqueous or organic phase,. . .
- 14. The process according to any of the claims 1-3, wherein porosity of said biodegradable porous microspheres having ionic functional groups is intended to be increased by addition of gas forming agents or salts such as sodium. . .
- 15. The process according to any of the claims 1-3, wherein said biodegradable porous microspheres having ionic functional groups are prepared by co-addition of acidifying agents such as lactic acid, glycolic acid, tartaric acid, citric. . .
- . 16. The process according to any of the claims 1-3, wherein the incorporation of a biopharmaceutical into said biodegradable porous microspheres having ionic functional groups are performed in an aqueous buffer solution, where the pH of the buffer is from 3.0. . . 20. The process according to any of the claims 1-3, wherein the size of the microspheres is within the range from 0.01 to 500  $\mu m$ .

#### L3 ANSWER 5 OF 12 USPATFULL on STN



AN 2002:202150 USPATFULL

TI Stabilized bioactive preparations and methods of use

Dellamary, Luis A., San Marcos, CA, United States IN Tarara, Thomas E., San Diego, CA, United States Kabalnov, Alexey, Corvallis, OR, United States Weers, Jeffry G., San Diego, CA, United States Schutt, Ernest G., San Diego, CA, United States Inhale Therapeutic Systems, Inc., San Carlos, CA, United States (U.S. PA corporation) US 6433040 В1 20020813 ΡĮ ΑI US 1998-218209 19981222 (9) RLI Continuation of Ser. No. WO 1998-US20613, filed on 29 Sep 1998 Continuation-in-part of Ser. No. US 1998-133848, filed on 14 Aug 1998, now abandoned Continuation-in-part of Ser. No. US 1998-106932, filed on 29 Jun 1998, now abandoned US 1997-60337P 19970929 (60) PRAI

Utility DT

GRANTED FS

EXNAM Primary Examiner: Szekely, Peter

CLMN Number of Claims: 31 ECL Exemplary Claim: 1

17 Drawing Figure(s); 4 Drawing Page(s) DRWN

LN.CNT 2587

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

. . . as discussed in more detail below, surfactants comprising the porous particles may also be useful in the formation of precursor oil-in-water emulsions (i.e. spray drying feed stock) used during processing to form the structural matrix.

. . . matrix defining the perforated microstructure optionally DETD comprises synthetic or natural polymers or combinations thereof In this respect useful polymers comprise polylactides, polylactideglycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams polyvinyl pyrrolidones, polysaccharides (dextrans, starches, chitin, chitosan, etc.), hyaluronic acid, proteins, (albumin,. .

DETD In selected embodiments an oil-in-water emulsion is then formed in a separate vessel. The oil employed is preferably a fluorocarbon (e.g., perfluorooctyl bromide, perfluorodecalin) which.

What is claimed is: CLM

- 5. The method of claim lwherein said perforated microstructures further comprise hollow porous microspheres.
- 6. The method of claim 1 wherein said bioactive agent is selected from the group consisting of antiallergics, bronchodilators, pulmonary. antagonists, antihistamines, anti-inflammatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 15. The method of claim 10 wherein said perforated microstructures further comprise hollow porous microspheres.
- 16. The method of claim 10 wherein said bioactive agent is selected from the group consisting of antiallergics, bronchodilators, pulmonary. antagonists, antihistamines, anti-inflammatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 24. The dispersion of claim 18 wherein said perforated microstructures further comprise hollow porous microspheres.
- 25. The dispersion of claim 18 wherein said bioactive agent is selected from the group consisting of antiallergics, bronchodilators, pulmonary. antagonists, antihistamines, anti-inflammatories, antineoplastics, anticholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.

#### L3 ANSWER 8 OF 12 USPATFULL on STN

# Full Clarg Text References

AN 2001:190709 USPATFULL

TI Stabilized preparations for use in metered dose inhalers

IN Weers, Jeffry G., San Diego, CA, United States Schutt, Ernest G., San Diego, CA, United States Dellamary, Luis A., San Marcos, CA, United States Tarara, Thomas E., San Diego, CA, United States Kabalnov, Alexey, Corvallis, OR, United States

PA Inhale Therapeutic Systems, Inc., San Carlos, CA, United States (U.S.

corporation)

RLI Continuation of Ser. No. WO 1998-US20615, filed on 29 Sep 1998
Continuation-in-part of Ser. No. US 1998-133848, filed on 14 Aúg 1998,
now abandoned Continuation-in-part of Ser. No. US 1998-106932, filed on
29 Jun 1998, now abandoned

PRAI US 1997-60337P 19970929 (60)

DT Utility

FS GRANTED

EXNAM Primary Examiner: Bawa, Raj

LREP Rafa, Michael J., Cagan, Felissa H.

CLMN Number of Claims: 93 ECL Exemplary Claim: 1

DRWN 17 Drawing Figure(s); 4 Drawing Page(s)

LN.CNT 2644

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

DETD . . . as discussed in more detail below, surfactants comprising the porous particles may also be useful in the formation of precursor oil-in-water emulsions (i.e. spray drying feed stock) used during processing to form the structural matrix.

DETD . . . matrix defining the perforated microstructure optionally comprises synthetic or natural polymers or combinations thereof In this respect, useful polymers comprise polylactides, polylactide-glycolides, cyclodextrins, polyacrylates, methylcellulose, carboxymethylcellulose, polyvinyl alcohols, polyanhydrides, polylactams, polyvinyl pyrrolidones, polysaccharides (dextrans, starches, chitin, chitosan, etc.), hyaluronic acid, proteins, (albumin, . . .

DETD In selected embodiments an oil-in-water emulsion is then formed in a separate vessel. The oil employed is preferably a fluorocarbon (e.g., perfluorocctyl bromide, perfluorodecalin) which. . .

CLM What is claimed is:

19. The stable respiratory dispersion of claim 1 wherein said perforated microstructures comprise hollow porous microspheres.

- 20. The stable respiratory dispersion of claim 19 wherein the microspheres comprise a surfactant.
- . antagonists, antihistamine, antiinflammatories, antineoplastics, antocholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides, and combinations thereof.
- . stable respiratory dispersion of claim 1 wherein said bioactive agents are selected from the group consisting of steroids, bronchodilators and peptides.
- 32. The method of claim 30 further comprising the step of spray drying an oil-in-water emulsion to provide said perforated microstructures wherein the disperse phase of said emulsion comprises a fluorochemical.
- 47. The method of claim 30 wherein said perforated microstructures comprise hollow microspheres.

- 52. The method of claim 30 wherein said bioactive agent is selected from the group consisting of antiallergics, bronchodilators, pulmonary. . . antagonists, antihistamines, antiinflammatories, antineoplastics, antcholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 67. The method of claim 53 wherein said perforated microstructures comprise hollow porous microspheres.
- 71. The method of claim 53 wherein said perforated microstructures comprise a bioactive agent selected from the group consisting of. . . antagonists, antihistamines, antiinflammatories, antineoplastics, antcholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.
- 83. The respiratory dispersion of claim 82 wherein said perforated microstructures comprise hollow porous microspheres.
- 84. The respiratory dispersion of claim 83 wherein said hollow porous microspheres have a mean aerodynamic diameter between 0.5 to 5  $\mu m$ .
- . antagonists, antihistamines, antiinflammatories, antineoplastics, antcholinergics, anesthetics, anti-tuberculars, imaging agents, cardiovascular agents, enzymes, steroids, genetic material, viral vectors, antisense agents, proteins, peptides and combinations thereof.

#### L3 ANSWER 9 OF 12 USPATFULL on STN

## Full Citing Text References

AN 2001:125488 USPATFULL

TI Encapsulation of water soluble peptides

IN Ignatious, Francis X., Exton, PA, United States

PA Societe de Conseils de Recherches et d'Applications Scientifiques, SAS, Paris, France (non-U.S. corporation)

PI US 6270700 B1 20010807

<u>AI</u> <u>US 1999-357453</u> 19990720 (9) <u>PRAI</u> <u>US 1998-93914P</u> 19980723 (60)

DT Utility
FS GRANTED

EXNAM Primary Examiner: Nutter, Nathan M.

LREP Morrill, Brian R., Feeney, Alan F., Conway, John D.

CLMN Number of Claims: 16 ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 783

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

This invention relates to a process for preparing biodegradable microspheres and or nanospheres using an oil-in-water process for the controlled release of bioactive peptides.

SUMM This invention relates to a process for preparing biodegradable microspheres and/or nanospheres using an oil-in-water process, which microspheres and nanospheres can be used for the controlled release of bioactive peptides.

SUMM . . . the solvent. When the polymer is dissolved in an organic medium and then emulsified in water, the process is called oil-in-water process (O/W). Water soluble peptides cannot be encapsulated by the O/W process, due to the partition of the water soluble. . . into the aqueous medium, resulting in low encapsulation efficiency. Higher encapsulation efficiencies were achieved by a more complex double emulsion water-in-oil-in-water (W/O/W) process (U.S. Pat. No. 5,271,945) or by using an oil-in-oil (O/O) process (EP 0330180 B1). The main drawback of. .

SUMM A preferred process of any of the foregoing processes is where the

polymer is polylactide-co-glycolide, polycaprolactone or polyanhydride or a copolymer or blends thereof.

- SUMM Preferred of the immediately foregoing process is where the polymer is polylactide-co-glycolide, polycaprolactone or polyanhydride or a copolymer or blends thereof and where the peptide is the LHRH analogue of the formula. . .
- SUMM Polymers that can be used to form microspheres include bioerodible polymers such as polyesters (ex. polylactides, polyglycolides, polycaprolactone and copolymers and blends thereof), polycarbonates, polyorthoesters, polyacetals, polyanhydrides, their copolymers or blends, and non-bioerodible polymers such as. . .
- Polymers that can be used to form microspheres include biodegradable polymers such as polyesters (ex. polylactides, polyglycolides, polycaprolactone and copolymers and blends thereof) polycarbonates, polyorthoesters, polyacetals, polyanhydrides, their copolymers or blends, and non-biodegradable polymers such as. . .
- CLM What is claimed is:

  1. A process for preparing polymer microspheres comprising a polymer and a peptide, which comprises the steps of: neutralizing a peptide salt with a weak base in an aqueous medium wherein said medium comprises a suspension of hydroxyapatite or a solution. . . suspension; dispersing the suspension in an aqueous solution of a surfactant; and evaporating the organic solvent to isolate the polymer microspheres.
  - 2. A process according to claim 1, comprising the additional step of dissolving the **peptide** salt in a minimum of water before neutralizing the **peptide** salt.
  - 9. A process according to claim 8, wherein the peptide is growth hormone releasing peptide, luteinizing hormone-releasing hormone, somatostatin, bombesin, gastrin releasing peptide, calcitonin, bradykinin, galanin, melanocyte stimulating hormone, growth hormone releasing factor, amylin, tachykinins, secretin, parathyroid hormone, enkephalin, endothelin, calcitonin gene releasing peptide, neuromedins, parathyroid hormone related protein, glucagon, neurotensin, adrenocorticothrophic hormone, peptide YY, glucagon releasing peptide, vasoactive intestinal peptide, pituitary adenylate cyclase activating peptide, motilin, substance P, neuropeptide Y, or TSH or an analogue or a fragment thereof or a pharmaceutically acceptable salt thereof.
  - 10. A process according to claim 9, wherein the **peptide** is the LHRH analogue of the formula pyroGlu-His-Trp-Ser-Tyr-D-Trp-Leu-Arg-Pro-Gly-NH $_{2}$ .
  - 11. A process according to claim 10, wherein the polymer is polylactide-co-glycolide, polycaprolactone or polyanhydride or a copolymer or blends thereof.
  - 12. A process according to claim 9, wherein the **peptide** is selected from the group of somatostatin analogues consisting of H-D- $\beta$ -Nal-Cys-Tyr-D-Trp-Lys-Thr-Cys-Thr-NH $_2$ , ##STR8## and ##STR9##
  - 13. A process according to claim 12, wherein the polymer is polylactide-co-glycolide, polycaprolactone or polyanhydride or a copolymer or blends thereof.
- L3 ANSWER 10 OF 12 USPATFULL on STN



AN 97:80981 USPATFULL

- TI Preparation of peptide containing biodegradable microspheres by melt process
- IN Cha, Younsik, Salt Lake City, UT, United States

```
Choi, Young Kweon, Salt Lake City, UT, United States
      Pai, Chaul Min, Taejon, Korea, Republic of
      Macromed, Inc., Salt Lake City, UT, United States (U.S. corporation)
PA
PΙ
      US 5665428
                               19970909
      US 1995-547962
                               19951025 (8)
ΑI
DТ
      Utility
FS
      Granted
EXNAM Primary Examiner: Nutter, Nathan M.
      Thorpe, North & Western, L.L.P.
LREP
CLMN
      Number of Claims: 24
ECL
      Exemplary Claim: 1
DRWN
      No Drawings
LN.CNT 1205
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
      . . . and spray congealing; (g) air suspension coating; and (h) pan
      coating. As exemplified in U.S. Pat. No. 4,652,441, a W/O/W
       (water/oil/water) double emulsion in-water drying process is a
      commonly used method for microencapsulation of water-soluble hydrophilic
      drugs such as peptides and. . .
      . . . mingled in a molecular order. By using a co-solvent such as
SUMM
      acetonitrile-water mixtures or glacial acetic acid for the oligomeric
      polylactides and various drugs including peptides and proteins, the
      active substance can be uniformly incorporated into the microspheres
      without loss of.
SUMM
      . . average molecular weight of 5,000 as carriers for the
      continuous release of polypeptide drugs. The hydrophobic block
      component, such as polylactide, is biodegradable and the hydrophilic
      block component, such as polyethylene glycol, may or may not be
      biodegradable. Such copolymeric compositions.
SUMM
      The release of a polypeptide from a polylactide polymer is often
      preceded by a significant induction period, during which no polypeptide
      is released, or is polyphasic which comprises. .
      . . is to copolymerize lactic acid with glycolic acid to form
SUMM
      poly(lactide-glycolide) copolymers. Another is to mix a peptide
      encapsulated in polylactide polymer with the same peptide encapsulated
      in other polymers or copolymers. Both of these methods are difficult to
      control during.
      . . . Pat. No. 5,330,768. This patent discloses degradable polymeric
SUMM
      matrices prepared by the physical blending of biodegradable hydrophobic
      polymers, such as polylactides, with nonionic hydrophilic copolymers,
      such as surfactant block copolymers of polyethyleneoxide (PEO) and
      polypropyleneoxide (PPO). Protein or peptide drugs are. . . within a
      polymeric skeleton which provide for extended protein release and
      minimized initial protein burst as compared to the pure polylactide
      polymers. However, when polymer blends are prepared as microspheres, a
      modified solvent evaporation technique using double emulsion is employed
      which.
      These copolymers are biodegradable and biocompatible. Polyethylene
DETD
      glycol, polylactide and lactide/glycolide copolymers are approved by
      FDA for medical use. Thermoplastic biodegradable hydrogels, such as
      these, are considered to have. .
       . . . peptide or protein drugs. Of more relevance is the size of the
DETD
      microparticles which are formed. When formed in an oil or water for
      purposes of injection the particle size will generally range from about
      1 to 100 \mu m and when formed for.
CLM
      What is claimed is:
      1. A process for preparing microspheres of an admixture of a
      biodegradable low melting point block copolymer and a water soluble and
      heat resistant peptide/protein drug, which comprises: (a) preparing a
      molten mixture of an effective amount of peptide/protein drug
      microparticles and a biodegradable block copolymer at a temperature
      above the melting temperature of said block copolymer; (b) dispersing.
      . . the temperature of said microdroplets in a cooling environment
      below the melting point of said block copolymer to form solid
      microspheres; and (d) separating said microspheres from said
      continuous fluid medium.
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- 11. A process according to claim 6 wherein said peptide/protein drug is a member selected from the group consisting of oxytocin, vasopressin, adrenocorticotrophic hormone, epidermal growth factor, prolactin, luliberin or. . .
- . 19 wherein said liquid is cooled below the melting point of said copolymer causing said molten mixture to harden into microspheres and separating said microspheres from said liquid.
- 21. A process according to claim 20 wherein said microspheres are separated from said liquid by means of centrifugation, filtration, or decantation.
- . in a sterile environment at a temperature below the melting point of said copolymer and wherein the concentration of said microspheres in said oil is between about 10 to 50% w/v.

#### L3 ANSWER 11 OF 12 USPATFULL on STN

## Full altinu Text Pelerences

AN 97:49618 USPATFULL

TI Composition for the sustained and controlled release of medicamentous substances and a process for preparing the same

IN Orsolini, Piero, Martigny, Switzerland

Heimgartner, Frederic, Martigny, Switzerland

PA Asta Medica Ag, Frankfurt am Main, Germany, Federal Republic of (non-U.S. corporation)

<u>PI US 5637568</u> 19970610 <u>AI US 1994-210097</u> 19940316 (8)

RLI Continuation of Ser. No. US 1992-915490, filed on 16 Jul 1992, now

abandoned

PRAI CH 1991-2178 19910722

DT Utility

FS Granted

EXNAM Primary Examiner: Tsang, Cecilia J.; Assistant Examiner: Marshall, S. G.

LREP Pennie & Edmonds

CLMN Number of Claims: 14

ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 328

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

SUMM . . . peptide salt, then suspending said peptide salt in a solution of a biodegradable polymeric material, converting said suspension into an oil-in-water type emulsion, and finally isolating the microspheres of biodegradable polymer after transfer of the oil-in-water emulsion into an excess of an aqueous medium.

SUMM . . . and EP-A-0058481 or U.S. Pat. No. 3,976,071 for the preparation of implants or of biodegradable porous matrices, based mainly on polylactide or on copolylactide-glycolide. These techniques make use of a prior dissolution in an organic solvent of the biodegradable polymer or. . .

SUMM . . . microcapsules or microspheres, make use of emulsification procedures, the most important step of such procedures being the obtention of an oil-in-water type emulsion from an organic solution of polymeric material and an aqueous solution of the peptide--see in this respect U.S.. .

SUMM In a process using the formation of an emulsion of the oil-in-water type followed by its transfer into an aqueous medium, the invention enables, against all expectations, to overcome advantageously the short-commings. . .

SUMM As to the biodegradable polymeric material, the most commonly used are polymers such as a polylactide, a polyglycolide or a copolymer of lactic and glycolic acids.

SUMM . . . most generally of water complemented with an appropriate surfactant. The objective is to form rapidly a homogeneous emulsion of

the oil-in-water type, said aqueous medium functioning to provide the continuous phase. Various factors are to be considered when preparing such an. . .

What is claimed is:

- 1. A sustained and controlled release composition consisting essentially of microspheres of biodegradable polymeric material which incorporate therein a water-insoluble salt of a medicamentous peptide substance having the formula (I): Ac-D-Nal-D-pClPhe-R<sup>3</sup> -Ser-Tyr-D-Cit-Leu-Arg-Pro-D-Ala-NH<sub>2</sub>(I) wherein R<sup>3</sup> is D-Pal or D-Trp.
- 2. Composition according to claim 1, wherein the water-insoluble peptide is a pamoate, tannate, stearate or palmitate.
- 3. Composition according to claim 1, wherein the biodegradable polymeric material is a polylactide, a polyglycolide or a copolymer of lactic and glycolic acids.
- 5. Composition according to one of claims 1 in the form of microspheres of a 75:25 (molar %) copolymer of lactic and glycolic acids, including at least 5% in weight of the pamoate salt of a peptide of formula (I).
- . release composition consisting essentially of micropheres of a biodegradable polymeric material which incorporate therein a water-insoluble salt of a medicamentous peptide substance having the formula (I): Ac-D-Nal-D-pClPhe-R<sub>3</sub> -Ser-Tyr-D-Cit-Leu-Arg-Pro-D-Ala-NH<sub>2</sub>(I) wherein R<sup>3</sup> is D-Pal or D-Trp, said composition prepared by process comprising the steps of: a) converting a water-soluble peptide salt of formula (I) into a water-insoluble peptide salt; b) suspending said water-insoluble peptide salt in an organic medium containing the biodegradable polymeric material in the dissolved state to afford an organic suspension; c). . . aqueous medium consisting essentially of water; d) transferring said emulsion into an excess of an aqueous medium; and e) separating microspheres thus obtained from the liquid phase, said microspheres containing a pharmaceutically effective amount between about 5-20% by weight of said water-insoluble peptide salt.
- 7. Composition according to claim 1, wherein before the transfer of the oil-in-water emulsion into an excess of aqueous medium, a partial evaporation of the organic solvent forming the oil phase is carried.  $\cdot$
- 8. Composition according to claim 1, wherein the water-insoluble peptide salt is a pamoate, a tannate, a stearate or a palmitate.
- 9. Composition according to one of claim 1, wherein the biodegradable polymeric material is a **polylactide**, a polyglycolide, or a copolymer of lactic and glycolic acids.
- 11. Process according to claim 6, wherein the water-insoluble **peptide** salt is present in the **microspheres** in an amount of from about 5% by weight.
- 13. A sustained and controlled release composition consisting essentially of microspheres of a biodegradable polymeric material which incorporate therein a water-insoluble salt of a medicamentous peptide substance, said water-insoluble salt having a water solubility less than or equal to 0.1 mg/ml at 25° C., said peptide substance having the formula (I): Ac-D-NAl-D-pClPhe-R³ -Ser-Tyr-D-Cit-Leu-Arg-Pro-D-Ala-NH2(I), wherein R³ is D-Pal or D-Trp and said biodegradable polymeric material being a polylactide, a polyglycolide, or a copolymer of lactic and glycolic acids, said composition prepared by a process comprising the steps of: a) converting a water-soluble peptide salt of formula (I) into a water-insoluble peptide salt; b) suspending said water-insoluble peptide salt in an organic medium containing the biodegradable

CLM

polymeric material in the dissolved state to afford an organic suspension; c). . . essentially of water and a surfactant; d) transferring said emulsion into an excess of an aqueous medium; and e) separating microspheres thus obtained from the liquid phase, said microspheres containing a pharmaceutically effective amount between about 5-20% by weight of said water-insoluble peptide salt.

#### L3 ANSWER 12 OF 12 USPATFULL on STN

#### Peterences Text

95:77973 USPATFULL AN

Process for the preparation of microspheres made of a biodegradable ΤI polymeric material

Orsolini, Piero, Martigny, Switzerland IN

Heimgartner, Frederic, Martigny, Switzerland

Debio Recherche Pharmaceutique S.A., Martigny, Switzerland (non-U.S. PA corporation)

PΙ

US 5445832 19950829

ΑI US 1992-915478 19920716 (7)

PRAI CH 1991-2178

19910722

DT Utility

FS Granted

EXNAM Primary Examiner: Warden, Jill; Assistant Examiner: Lukton, David

LREP Pennie & Edmonds

Number of Claims: 7 CLMN

ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 444

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

. . peptide salt, then suspending said peptide salt in a solution of a biodegradable polymeric material, converting said suspension into an oil-in-water type emulsion, and finally isolating the microspheres of biodegradable polymer after transfer of the oil-in-water emulsion into an excess of an aqueous medium.

SUMM . . and EP-A-0058481 or U.S. Pat. No. 3976071 for the preparation of implants or of biodegradable porous matrices, based mainly on polylactide or on copolylactide-glycolide. These techniques make use of a prior dissolution in an organic solvent of the biodegradable polymer or. . .

SUMM . . . microcapsules or microspheres, make use of emulsification procedures, the most important step of such procedures being the obtention of an oil-in-water type emulsion from an organic solution of polymeric material and an aqueous solution of the peptide--see in this respect U.S..

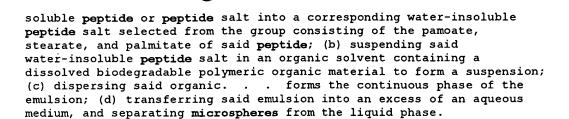
SUMM In a process using the formation of an emulsion of the oil-in-water type followed by its transfer into an aqueous medium, the invention enables, against all expectations, to overcome advantageously the shortcommings.

SUMM As to the biodegradable polymeric material, the most commonly used are polymers such as a polylactide, a polyglycolide, a copolymer of lactic and glycolic acids, a polyester such as a polyalkylene fumarate or succinate or further.

. . . most generally of water complemented with an appropriate SUMM surfactant. The objective is to form rapidly a homogeneous emulsion of the oil-in-water type, said aqueous medium functioning to provide the continuous phase. Various factors are to be considered when preparing such an.

CLM What is claimed is:

1. A process for preparing a composition for the sustained and controlled release of a medicamentous peptide substance, said medicamentous peptide substance being a natural or synthetic poly peptide comprising from about 3 to about 45 amino acids, said composition being obtained in the form of microspheres of a biodegradable polymeric organic material incorporating said medicamentous substance, comprising the steps of: (a) converting a water



- . somatoststin, insulin, glucagon, auricular natriuretic factor (ANF), endorphin, a renin inhibitor, luteinizing hormone-releasing hormone (LHRH), growth hormone releasing hormone (GHRH), peptide T, their synthetic analogues and their synthetic homologues.
- . according to one of claims 1 or 2 wherein the biodegradable polymeric material is selected from the group consisting of **polylactides**, polyglycolides, copolymers of lactic and glycolic acids, polyesters, polyalkylene fumarate, polyalkylene succinate, polyorthoesters, polyacetals and polyanhydrides.